

Notes on metrics and counting functions on B^n

Dedicated to Professor Kenji Nakagawa on his 70th birthday

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0. Introduction and preliminaries. Let C be the field of the complex numbers. Let $V = V^{1,n}(C)$ ($n \geq 1$) denote the vector space C^{n+1} , together with the unitary structure defined by the Hermitian form

$$\Phi(z^*, w^*) = -\overline{z_0^*} w_0^* + \sum_{k=1}^n \overline{z_k^*} w_k^*,$$

where $z^* = (z_0^*, z_1^*, \dots, z_n^*)$ and $w^* = (w_0^*, w_1^*, \dots, w_n^*)$ in V . An automorphism g of V will be called a unitary transformation. (g must be linear and $\Phi(g(z^*), g(w^*)) = \Phi(z^*, w^*)$ for all $z^*, w^* \in V$.) We denote the group of all unitary transformations by $U(1, n; C)$.

Let $V_0 = \{z^* \in V \mid \Phi(z^*, z^*) = 0\}$ and $V_- = \{z^* \in V \mid \Phi(z^*, z^*) < 0\}$. Obviously V_0 and V_- are invariant under $U(1, n; C)$. Let $\pi(V)$ be the projective space obtained from V . This is defined, as usual, by using the equivalence relation in $V - \{0\}$: $u^* \sim v^*$ if there exists $\lambda \in C - \{0\}$ such that $u^* = \lambda v^*$. Let $\pi: V - \{0\} \rightarrow \pi(V)$ denote the projection map. We define $H^n(C) = \pi(V_-)$. Let $\overline{H^n(C)}$ denote the closure of $H^n(C)$ in the projective space $\pi(V)$. An element $g \in U(1, n; C)$ operates in $\pi(V)$, leaving $\overline{H^n(C)}$ invariant. If $(z_0^*, z_1^*, \dots, z_n^*) \in V_-$, the condition $-|z_0^*|^2 + \sum_{k=1}^n |z_k^*|^2 < 0$ implies that $z_0^* \neq 0$. Therefore we may define a set of coordinates $z = (z_1, z_2, \dots, z_n)$ in $H^n(C)$ by $z_k(\pi(z^*)) = z_k^* z_0^{*-1}$. In this way $H^n(C)$ becomes identified with the complex unit ball $B^n = B^n(C) = \{z = (z_1, z_2, \dots, z_n) \in C^n \mid \|z\|^2 = \sum_{k=1}^n |z_k|^2 < 1\}$. A unitary transformation is regarded as a transformation operating on $\overline{B^n}$. We use the same symbol $U(1, n; C)$ to denote the group of all these transformations. Throughout this paper G will denote a discrete subgroup of $U(1, n; C)$. We assume that the stabilizer G_0 of 0 consists only of the identity.

In this paper we shall show the properties of metrics on B^n in Section 1 and discuss a counting function $n(r, z)$ in Section 2.

1. Metrics d , δ and δ_a . Let $d(\cdot)$ be the distance which is induced from the metric

$$g_{ij}(z) = \delta_{ij}(1 - \|z\|^2)^{-1} + \overline{z_i} z_j (1 - \|z\|^2)^{-2},$$

where $z = (z_1, z_2, \dots, z_n) \in B^n$. We recall that $d(z, w)$ is expressed as

$$d(z, w) = \cosh^{-1} [|\Phi(z^*, w^*)| \{\Phi(z^*, z^*) \Phi(w^*, w^*)\}^{-1/2}],$$

where $z^* \in \pi^{-1}(z)$ and $w^* \in \pi^{-1}(w)$. Set

$$\delta(z, w) = [1 - \{\Phi(z^*, z^*) \Phi(w^*, w^*)\} |\Phi(z^*, w^*)|^{-2}]^{1/2}$$

for $z, w \in B^n$ (see [3, p. 180]).

Proposition 1. 1. *Let z and w be points in B^n .*

- (a) $\delta(z, w) = \tanh d(z, w)$.
- (b) $\delta(g(z), g(w)) = \delta(z, w)$ for any element $g \in U(1, n; \mathbf{C})$.
- (c) $d(z, w) = (1/2) \log (1 + \delta(z, w))(1 - \delta(z, w))^{-1}$.
- (d) $d(z, w) \geq \delta(z, w)$.

Proof. (a) It is seen that

$$\begin{aligned} \tanh^2 d(z, w) &= 1 - \operatorname{sech}^2 d(z, w) \\ &= 1 - [|\Phi(z^*, z^*) \Phi(w^*, w^*)|^{-1/2} |\Phi(z^*, w^*)|]^{-2} \\ &= 1 - [|\Phi(z^*, z^*) \Phi(w^*, w^*)| |\Phi(z^*, w^*)|^{-2}] \\ &= \delta^2(z, w). \end{aligned}$$

Thus $\delta(z, w) = \tanh d(z, w)$.

- (b) This follows from (a) and the invariance of d under $U(1, n; \mathbf{C})$.
- (c) This is immediate.
- (d) By (a), $\delta(z, w) = \tanh d(z, w) \leq d(z, w)$. ■

Proposition 1. 2. *The function δ is a distance function on B^n .*

Proof. By (a) in Proposition 1. 1,

$$\begin{aligned} \delta(z, w) &\geq 0 \text{ and } \delta(z, w) = 0 \Leftrightarrow z = w ; \\ \delta(z, w) &= \delta(w, z). \end{aligned}$$

Therefore we have only to prove the triangle inequality. Let x, y and z be points in B^n .

Using (a) in Proposition 1. 1 and the addition theorem on \tanh , i. e.

$$\begin{aligned} \tanh\{d(x, y) + d(y, z)\} &= \{\tanh d(x, y) + \tanh d(y, z)\} \\ &\quad \{1 + \tanh d(x, y) \cdot \tanh d(y, z)\}^{-1}, \end{aligned}$$

we see that

$$\begin{aligned} \delta(x, y) + \delta(y, z) &= \tanh d(x, y) + \tanh d(y, z) \\ &= \tanh\{d(x, y) + d(y, z)\} \{1 + \tanh d(x, y) \cdot \tanh d(y, z)\} \\ &\geq \tanh d(x, z) = \delta(x, z). \quad \blacksquare \end{aligned}$$

Set

$$\delta_\alpha(z, w) = [1 - \{\Phi(z^*, z^*)\Phi(w^*, w^*)|\Phi(z^*, w^*)|^{-2}\}^\alpha]^{1/2}$$

and let

$$d_\alpha = (1/2) \log (1 + \delta_\alpha)(1 - \delta_\alpha)^{-1}.$$

It is easy to see that $\delta_1 = \delta$ and $d_1 = d$.

Proposition 1. 3.

- (a) The functions δ_α and d_α are increasing functions of $\alpha > 0$.
- (b) $\delta_\alpha = \tanh d_\alpha$.
- (c) $\operatorname{sech} d_\alpha = \operatorname{sech}^\alpha d$.
- (d) If $\alpha \in (0, 1)$, then d_α is a distance function on B^n .

Proof. (a) This is immediate.

(b) We see that

$$\tanh d_\alpha = \tanh\{(1/2) \log (1 + \delta_\alpha)(1 - \delta_\alpha)^{-1}\} = \delta_\alpha.$$

(c) The equality (a) in Proposition 1. 1 yields

$$\begin{aligned} \operatorname{sech}^2 d_\alpha &= 1 - \tanh^2 d_\alpha = (1 - \delta_\alpha^2)^\alpha = (1 - \tanh^2 d)^\alpha \\ &= \operatorname{sech}^{2\alpha} d. \end{aligned}$$

(d) We have only to prove the triangle inequality. Since $U(1, n; \mathbb{C})$ is transitive on B^n , it is sufficient to show that

$$d_\alpha(z, w) \leq d_\alpha(z, 0) + d_\alpha(w, 0) \quad \text{for } z, w \in B^n. \quad (1)$$

Set $t_1 = \delta_\alpha(z, 0)$, $t_2 = \delta_\alpha(w, 0)$ and $t_3 = \delta_\alpha(z, w)$. Then (1) is equivalent to the following inequality :

$$(1 + t_3)(1 - t_3)^{-1} \leq (1 + t_1)(1 - t_1)^{-1}(1 + t_2)(1 - t_2)^{-1}. \quad (2)$$

By (2), we obtain

$$t_3^2 \leq \{(t_1 + t_2)(1 + t_1 t_2)^{-1}\}^2.$$

From this it follows that

$$\begin{aligned} (1 - t_3^2) &\geq 1 - \{(t_1 + t_2)(1 + t_1 t_2)^{-1}\}^2 \\ &= (1 - t_1^2)(1 - t_2^2)(1 + t_1 t_2)^{-2}. \end{aligned} \quad (3)$$

We note that

$$1 - \delta_\alpha(z, w)^2 = (1 - \delta(z, w)^2)^\alpha. \quad (4)$$

By using (3) and (4), we have

$$1 - \delta(z, w)^2 \geq (1 - \delta(z, 0)^2)(1 - \delta(w, 0)^2)(1 + t_1 t_2)^{-2/\alpha}.$$

This implies that

$$\Phi(z^*, z^*)\Phi(w^*, w^*)|\Phi(z^*, w^*)|^{-2} \geq \Phi(z^*, z^*)\Phi(w^*, w^*)(1+t_1t_2)^{-2/\alpha}$$

for $z^* = (1, z_1, z_2, \dots, z_n) \in \pi^{-1}(z)$ and $w^* = (1, w_1, w_2, \dots, w_n) \in \pi^{-1}(w)$. Therefore we have only to prove that

$$|\Phi(z^*, w^*)| \leq (1+t_1t_2)^{1/\alpha}. \quad (5)$$

We can show that if $\alpha \in (0, 1)$, then the inequality (5) is true. In fact,

$$\begin{aligned} (1+t_1t_2)^{1/\alpha} &\geq 1+(1/\alpha)t_1t_2 \\ &\geq 1+(1/\alpha)[\{1-(1-\|z\|^2)^\alpha\}\{1-(1-\|w\|^2)^\alpha\}]^{1/2} \\ &\geq 1+(1/\alpha)\{1-(1-\alpha\|z\|^2)\}^{1/2}\{1-(1-\alpha\|w\|^2)\}^{1/2} \\ &= 1+\|z\|\|w\| \geq |\Phi(z^*, w^*)|. \end{aligned}$$

Thus our proof is complete.

Proposition 1. 4.

$$\delta(z, w) \leq (\|z\| + \|w\|)(1 + \|z\|\|w\|)^{-1} \quad \text{for } z, w \in B^n.$$

To prove Proposition 1. 4, we need a lemma.

Lemma 1. 5. *If $0 \leq r < 1$, then a function $f(x) = (r+x)(1+rx)^{-1}$ is increasing in $x \geq 0$.*

Proof of Proposition 1. 4. By (b) in Proposition 1. 1, we may assume that $z = (r, 0, \dots, 0)$ and $w = (w_1, w_2, \dots, w_n)$, where $0 \leq r < 1$. Let $z^* = (1, r, 0, \dots, 0) \in \pi^{-1}(z)$ and $w^* = (1, w_1, w_2, \dots, w_n) \in \pi^{-1}(w)$. It follows from Lemma 1. 5 that

$$\begin{aligned} \delta(z, w)^2 &= 1-(1-r^2)(1-\|w\|^2)|1-rw_1|^{-2} \\ &\leq 1-(1-r^2)(1-|w_1|^2)|1-rw_1|^{-2} \\ &= |r-w_1|^2|1-rw_1|^{-2} \\ &\leq (r+|w_1|)^2(1+r|w_1|)^{-2} \\ &\leq (r+\|w\|)^2(1+r\|w\|)^{-2}. \blacksquare \end{aligned}$$

Proposition 1.6. *Let g be an element of $U(1, n; \mathbf{C})$. For $z, w \in B^n$*

$$\|g(z)\| \leq (\|z\| + \|g(0)\|)(1 + \|z\|\|g(0)\|)^{-1}.$$

Proof. From (a) in Proposition 1. 1 it follows that

$$\|g(0)\| = \delta(0, g(0)) = \delta(g^{-1}(0), 0) = \|g^{-1}(0)\|.$$

By using this equality and Proposition 1. 4, we have

$$\begin{aligned} \|g(z)\| &= \delta(0, g(z)) \\ &= \delta(g^{-1}(0), z) \\ &\leq (\|z\| + \|g^{-1}(0)\|)(1 + \|z\|\|g^{-1}(0)\|)^{-1} \\ &\leq (\|z\| + \|g(0)\|)(1 + \|z\|\|g(0)\|)^{-1}. \blacksquare \end{aligned}$$

3. Counting function $n(r, z)$. Let $n(r, z) = \#\{g \in G \mid \|g(z)\| < r\}$.

Theorem 2. 1. *If $\|z\| < r < 1$, then*

$$n\left(\frac{r-\|z\|}{1-r\|z\|}, 0\right) \leq n(r, z) \leq n\left(\frac{r+\|z\|}{1+r\|z\|}, 0\right).$$

Proof. Proposition 1. 6 implies that

$$\{g \in G \mid \|g(z)\| < r\} \supset \{g \in G \mid \|g(0)\| < (r-\|z\|)(1-r\|z\|)^{-1}\}$$

for $\|z\| < r < 1$. If $\|g(0)\| < r$, then

$$\|g(z)\| \leq (\|z\| + \|g(0)\|)(1 + \|z\|\|g(0)\|)^{-1} \leq (r + \|z\|)(1 + r\|z\|)^{-1}$$

Therefore we have our desired inequalities. ■

Let D_0 be the Dirichlet polyhedron for G centered at 0. We note that D_0 is expressed as

$$D_0 = \{z \in B^n \mid \|g_k(z)\| > \|z\| \text{ for all } g_k \text{ in } G - \{\text{identity}\}\}.$$

Let dV be the volume element which is induced from the metric g_{ij} . It is easy to see that $dV(z) = (i/2)^n (1 - \|z\|^2)^{-(n+1)} dz_1 \wedge d\bar{z}_1 \wedge \dots \wedge dz_n \wedge d\bar{z}_n$ for $z = (z_1, \dots, z_n) \in B^n$. We use $\text{vol}(A)$ for the volume of A measured by dV .

Theorem 2. 2. *Suppose that the volume of D_0 is finite. Let $a \in D_0$ and $\|a\| < \rho < 1$. There exists r_0 such that the following inequality is satisfied for $r_0 \leq r \leq 1$.*

$$A(1-r)^{-n} \leq n(r, a) \leq B(1-r)^{-n},$$

where A is a constant, which depends only on ρ and B is a numerical constant.

Proof. Let g_0, g_1, \dots be the complete list of elements in G . In virtue of [3, Proposition 4. 1] , we have only to prove that

$$A(1-r)^{-n} \leq n(r, a).$$

We choose r_0 such that $\rho < r_0 < 1$. Let F_0 be the part of D_0 which lies outside of $\|z\| = r_0$, where we take $1 - r_0$ so small that the volume of F_0 is less than ε . Let F_k be the image of F_0 under $g_k \in G$ and put $F = \bigcup_{k \geq 0} F_k$. Denote $F \cap \{z \mid \|z\| < r\}$ by $F(r)$, where $r_0 < r < 1$. Then

$$\begin{aligned} \text{vol}(F(r)) &= \int_{F_0} n(r, z) dV(z) \\ &\leq \text{constant} \cdot (1-r)^{-n} \text{vol}(F_0) \\ &\leq \text{constant} \cdot \varepsilon (1-r)^{-n}. \end{aligned} \tag{6}$$

Put $H_0 = D_0 - F_0$ and let $\{H_k\}$ be its image under G and $H = \bigcup_{k \geq 0} H_k$. Let $H(r) = H \cap \{z \mid \|z\| < r < 1\}$. It is seen that $F(r) \cup H(r) = \{z \mid \|z\| < r\}$ and $F(r) \cap H(r) = \phi$.

Therefore

$$\begin{aligned} \text{vol}(F(r)) + \text{vol}(H(r)) &= \text{vol}(\{z \mid \|z\| < r\}) \\ &\geq \text{constant} \cdot (1-r)^{-n}. \end{aligned} \quad (7)$$

It follows from (6) and (7) that

$$\text{vol}(H(r)) \geq \text{constant} \cdot (1-r)^{-n} - \text{constant} \cdot \varepsilon(1-r)^{-n}. \quad (8)$$

Let r_0^* be the δ -diameter of H_0 and set $r_1 = (r + r_0^*)(1 + rr_0^*)^{-1}$. Using [3, Proposition 2. 1], we see that, if $H_k \cap \{z \mid \|z\| < r\} \neq \emptyset$, then H_k is included in $\{z \mid \|z\| < r_1\}$. Hence $H(r)$ is contained in $\bigcup_{k \neq 0} H_k$, where

$$H_k \subset \{z \mid \|z\| < r_1\}. \quad (9)$$

Since $a \in D_0 \cap \{z \mid \|z\| < \rho\}$, the number of $\{H_k\}$ satisfying (9) is less than $n(a, r_1)$. Therefore we have

$$\text{vol}(H(r)) \leq n(r_1, a) \text{vol}(H_0). \quad (10)$$

By (8) and (10),

$$n(r_1, a) \geq \text{constant} \cdot (1-r)^{-n} (\text{vol}(H_0))^{-1}.$$

Noting that $(1-r_1)(1+r^*r_0^*)^{-1} = 1-r$, we obtain

$$n(r_1, a) \geq A(1-r)^{-n}.$$

Writing r instead of r_1 , we have

$$n(r, a) \geq A(1-r)^{-n} \quad \text{for } r_0 \leq r < 1.$$

Thus our proof is complete.

References

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